# A proof theoretic study of contractive mappings 

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These slides will be available at https://t-powell.github.io/talks

## Applied proof theory (aka 'proof mining') in one slide:

Uses ideas and techniques from proof theory to analyse mathematical proofs and:

- Extract quantitative information (even when the proof is at first glance nonconstructive).
- Obtain generalisations of the original theorem through weakening/abstracting assumptions.
- Give deeper insights into theorems from 'mainstream' mathematics and provide a uniform framework through which different results can be brought together.


## Aims of this talk:

- Present a recent application of proof theory in nonlinear analysis.
- Provide some general insight into how proof mining is done in practice.


## Outline

(1) A high level overview
(2) A simple worked example
(3) A first general result
(4) Summary of further results and conclusion

## We start with something familiar:

Throughout this talk, we work in a Banach space $X$.
A mapping $T: E \rightarrow E$ for $E \subseteq X$ is called strongly contractive (or often just a contraction mapping) if there exists $k \in[0,1)$ such that $\forall x, y \in E$ :

$$
\|T x-T y\| \leq(1-k)\|x-y\|
$$

## Theorem (Banach fixed point theorem)

IfT is strongly contractive then it possesses a fixpoint q. Moreover, from any starting point $x_{0}$ the sequence $\left\{x_{n}\right\}$ defined by $x_{n+1}:=T x_{n}$ converges to $q$, with rate of convergence

$$
\left\|x_{n}-q\right\| \leq \frac{(1-k)^{n}}{k}\left\|x_{1}-x_{0}\right\|
$$

$$
\text { space } X+\text { mapping } T+\text { algorithm }\left\{x_{n}\right\} \Longrightarrow \text { convergence to fixpoint }
$$

## A generalisation of the Banach fixed point theorem:

A mapping $T: E \rightarrow E$ for $E \subseteq X$ is called $\psi$-weakly contractive if $\psi:[0, \infty) \rightarrow[0, \infty)$ is a nondecreasing function with $\psi(0)=0$ and $\psi(t)>0$ for $t>0$, and $\forall x, y \in E$ :

$$
\|T x-T y\| \leq\|x-y\|-\psi(\|x-y\|)
$$

In the case that $\psi(t):=k t$ then $T$ is strongly contractive.

## Theorem ([Alber and Guerre-Delabriere, 1997l)

If T is weakly contractive then it possesses a fixpoint $q$. Moreover, from any starting point $x_{0}$ the sequence $\left\{x_{n}\right\}$ defined by $x_{n+1}:=T x_{n}$ converges to $q$, with rate of convergence

$$
\left\|x_{n}-q\right\| \leq \Psi^{-1}\left(\Psi\left(\left\|x_{0}-q\right\|\right)-n\right)
$$

where $\Psi$ is given by

$$
\Psi(s):=\int^{s} \frac{d t}{\psi(t)}
$$

$$
\text { space } X+\text { mapping } T+\text { algorithm }\left\{x_{n}\right\} \Longrightarrow \text { convergence to fixpoint }
$$

## Example of a weakly contractive mapping

Define $X=\mathbb{R}$ and $T:[0,1] \rightarrow[0,1]$ by $T x:=\sin x$. Then we can show that

$$
|\sin x-\sin y| \leq|x-y|-\frac{1}{8}|x-y|^{3}
$$

and so $\sin$ is $\psi$-weakly contractive for $\psi(t)=\frac{1}{8} t^{3}$.
The unique fixpoint of $\sin$ is $x=0$, and defining $x_{n+1}:=\sin x_{n}$ we have $x_{n} \rightarrow 0$ with rate of convergence

$$
x_{n} \leq \frac{1}{\sqrt{x_{0}^{-2}+\frac{n-1}{4}}}
$$

(cf. [Alber and Guerre-Delabriere, 1997] for details).

## A further generalisation:

A mapping $T: E \rightarrow E$ for $E \subseteq X$ is called totally asymptotically $\psi$-weakly contractive if $\psi, \phi:[0, \infty) \rightarrow[0, \infty)$ are nondecreasing functions with $\psi(0)=\phi(0)=0$ and $\psi(t), \phi(t)>0$ for $t>0$, and $\forall x, y \in E$ :

$$
\left\|T^{n} x-T^{n} y\right\| \leq\|x-y\|-\psi(\|x-y\|)+k_{n} \phi(\|x-y\|)+l_{n}
$$

for $k_{n}, l_{n} \rightarrow 0$. In the case that $k_{n}=l_{n}:=0$ then $T$ is $\psi$-weakly contractive.

## Theorem (Adapted from [Alber et al., 2006])

Suppose that $E \subseteq X$ is convex, $T$ is asymptotically $\psi$-weakly contractive and $q$ is a fixpoint of T. Moreover, from any starting point $x_{0}$ define the sequence $\left\{x_{n}\right\}$ by

$$
x_{n+1}=\left(1-\alpha_{n}\right) x_{n}+\alpha_{n} T^{n} x_{n}
$$

where $\left\{\alpha_{n}\right\}$ is some sequence of nonnegative reals with $\sum_{n=0}^{\infty} \alpha_{n}=\infty$. Suppose that $\left\|x_{n}-q\right\|$ is bounded. Then $x_{n} \rightarrow q$.
A clear closed form expression for a rate of convergence is not given in [Alber et al., 2006].

$$
\text { space } X+\text { mapping } T+\text { algorithm }\left\{x_{n}\right\} \Longrightarrow \text { convergence to fixpoint }
$$

First objective: Define a general class of mappings of 'weakly contractive type'

## Definition ([Powell and Wiesnet, 20211)

A sequence of mappings $\left\{A_{n}\right\}$ with $A_{n}: E \rightarrow E$ is quasi asymptotically $\psi$-weakly contractive w.r.t $q$ and with modulus $\sigma$ if for all $\delta, c>0$ and $x, y \in E$ :

$$
\|x-q\| \leq c \Longrightarrow \forall n \geq \sigma(\delta, c)\left(\left\|A_{n} x-q\right\| \leq\|x-q\|-\psi(\|x-q\|)+\delta\right)
$$

Example. If $T$ is totally asymptotically $\psi$-weakly contractive in the sense that

$$
\left\|T^{n} x-T^{n} y\right\| \leq\|x-y\|-\psi(\|x-y\|)+k_{n} \phi(\|x-y\|)+l_{n}
$$

then $\left\{T^{n}\right\}$ is quasi asymptotically $\psi$-weakly contractive w.r.t. any fixpoint of $T$ with modulus

$$
\sigma(\delta, c):=\max \left\{f_{1}\left(\frac{\delta}{2 \phi(c)}\right), f_{2}\left(\frac{\delta}{2}\right)\right\}
$$

where $f_{1}, f_{2}$ are rates of convergence for $k_{n}, l_{n} \rightarrow 0$.

## Second objective: Produce general convergence theorems

## Theorem (Adapted from [Powell and Wiesnet, 2021])

Suppose that $E \subseteq X$ is convex, $\left\{A_{n}\right\}$ is quasi asymptotically $\psi$-weakly contractive w.r.t q and with modulus $\sigma$. Moreover, from any starting point $x_{0}$ define the sequence $\left\{x_{n}\right\}$ by

$$
x_{n+1}=\left(1-\alpha_{n}\right) x_{n}+\alpha_{n} A_{n} x_{n}
$$

where $\left\{\alpha_{n}\right\} \in[0, \alpha]$ is some sequence of nonnegative reals with $\sum_{n=0}^{\infty} \alpha_{n}=\infty$. Suppose that $\left\|x_{n}-q\right\|$ is bounded by $c>0$. Then $x_{n} \rightarrow q$, with rate of convergence

$$
\left\|x_{n}-q\right\| \leq F^{-1}\left(2 \Psi(c)-\sum_{i=0}^{n-2} \alpha_{i}\right)
$$

where $F:(0, \infty) \rightarrow \mathbb{R}$ is any strictly increasing and continuous function satisfying

$$
F(\varepsilon) \geq 2 \Psi\left(\frac{\varepsilon}{2}\right)-\alpha \cdot \sigma\left(\frac{1}{2} \min \left\{\psi\left(\frac{\varepsilon}{2}\right), \frac{\varepsilon}{\alpha}\right\}, c\right)
$$

and $\Psi$ is given by

$$
\Psi(s):=\int^{s} \frac{d t}{\psi(t)}
$$

## How are these results obtained?

- An analysis of the logical structure of key properties and assumptions.
- An analysis of the convergence proofs (which often use liminfs, convergent subsequences etc).
- A study of the relevant literature, identifying common patterns.


## Outline

(1) A high level overview
(2) A simple worked example
(3) A first general result
(4) Summary of further results and conclusion
$T: E \rightarrow E$ is $\psi$-weakly contractive if $\psi:[0, \infty) \rightarrow[0, \infty)$ is a nondecreasing function with $\psi(0)=0$ and $\psi(t)>0$ for $t>0$, and $\forall x, y \in E$ :

$$
\|T x-T y\| \leq\|x-y\|-\psi(\|x-y\|)
$$

## Theorem (A)

Suppose that T is $\psi$-weakly contractive and $q$ is a fixpoint ofT. Define $x_{n+1}:=T x_{n}$ for any starting point $x_{0}$. Then

$$
\left\|x_{n+1}-q\right\| \leq\left\|x_{n}-q\right\|-\psi\left(\left\|x_{n}-q\right\|\right)
$$

for all $n \in \mathbb{N}$.

Proof. We observe that

$$
\begin{aligned}
\left\|x_{n+1}-q\right\| & =\left\|T x_{n}-q\right\| \text { definition of } x_{n+1} \\
& =\left\|T x_{n}-T q\right\| \quad q \text { a fixpoint of } T \\
& \leq\left\|x_{n}-q\right\|-\psi\left(\left\|x_{n}-q\right\|\right) \quad T \text { is } \psi \text {-weakly contractive }
\end{aligned}
$$

## Lemma (B)

Let $\left\{\mu_{n}\right\}$ be a sequence ofnonnegative reals satisfying

$$
\mu_{n+1} \leq \mu_{n}-\psi\left(\mu_{n}\right)
$$

where $\psi:[0, \infty) \rightarrow[0, \infty)$ is a nondecreasing function with $\psi(t)>0$ for $t>0$. Then $\mu_{n} \rightarrow 0$, and moreover, for any $\varepsilon>0$ we have

$$
\forall n \geq \Phi(\varepsilon)\left(\mu_{n} \leq \varepsilon\right)
$$

where $\Phi$ is defined by

$$
\Phi(\varepsilon):=\left\lceil\int_{\varepsilon}^{\mu_{0}} \frac{d t}{\psi(t)}\right\rceil
$$

Proof. Suppose for contradiction that there exists $\varepsilon>0$ such that $\mu_{n}>\varepsilon$ for all $n \in \mathbb{N}$. Observe that

$$
\begin{aligned}
I & \leq \frac{\mu_{n}-\mu_{n+1}}{\psi\left(\mu_{n}\right)} \quad\left(\text { definition of } \mu_{n} \text { and } \psi\left(\mu_{n}\right)>0\right) \\
& \leq \int_{\mu_{n+1}}^{\mu_{n}} \frac{d t}{\psi(t)} \quad(1 / \psi(t) \text { nonincreasing })
\end{aligned}
$$

## Proof (cont).

For any $N \in \mathbb{N}$ we have

$$
\begin{aligned}
N & =\sum_{i=0}^{N-1} 1 \\
& \leq \sum_{i=0}^{N-1} \int_{\mu_{n+1}}^{\mu_{n}} \frac{d t}{\psi(t)} \quad \text { (previous slide) } \\
& \leq \int_{\mu_{N}}^{\mu_{0}} \frac{d t}{\psi(t)} \quad\left(\mu_{n+1}<\mu_{n}\right) \\
& \leq \int_{\varepsilon}^{\mu_{0}} \frac{d t}{\psi(t)} \quad\left(\varepsilon<\mu_{N}\right)
\end{aligned}
$$

But this is false for

$$
N:=\left\lceil\int_{\varepsilon}^{\mu_{0}} \frac{d t}{\psi(t)}\right\rceil
$$

and therefore there exists some $n \leq N$ such that $\mu_{n} \leq \varepsilon$. But then in particular, since

$$
\mu_{n+1} \leq \mu_{n}-\psi\left(\mu_{n}\right) \leq \mu_{n}
$$

it follows that $\mu_{n} \leq \varepsilon$ for all $n \geq N$.

## Theorem (= Theorem A + Lemma B)

Suppose that $T$ is $\psi$-weakly contractive and $q$ is a fixpoint of $T$. Define $x_{n+1}:=T x_{n}$ for any starting point $x_{0}$. Then $\left\|x_{n}-q\right\| \rightarrow 0$, and moreover, for any $\varepsilon>0$ we have

$$
\forall n \geq \Phi(\varepsilon)\left(\left\|x_{n}-q\right\| \leq \varepsilon\right)
$$

where $\Phi$ is defined by

$$
\Phi(\varepsilon):=\left\lceil\int_{\varepsilon}^{\left\|x_{0}-q\right\|} \frac{d t}{\psi(t)}\right\rceil
$$

This is a perfectly satisfactory quantiative convergence theorem, where we provide a 'proof theorist's' rate of convergence for $\mu_{n} \rightarrow 0$ i.e. a function $\Phi$ such that

$$
\forall \varepsilon>0, \forall n \geq \Phi(\varepsilon)\left(\mu_{n} \leq \varepsilon\right)
$$

Analysts, on the other hand, often formulate rates of convergence as a function $f$ such that

$$
\forall n\left(\mu_{n} \leq f(n)\right)
$$

where $f(n) \rightarrow 0$ as $n \rightarrow \infty$.

Rate conversion. We have shown that for any $\varepsilon>0$ we have $\left\|x_{n}-q\right\| \leq \varepsilon$ for

$$
n \geq\left\lceil\int_{\varepsilon}^{\left\|x_{0}-q\right\|} \frac{d t}{\psi(t)}\right\rceil
$$

We now want to find for each $n \in \mathbb{N}$ some $\varepsilon_{n}$ such that

$$
\left\|x_{n}-q\right\| \leq \varepsilon_{n}
$$

This would work for any $\varepsilon_{n}$ with

$$
n-1<\int_{\varepsilon_{n}}^{\left\|x_{0}-q\right\|} \frac{d t}{\psi(t)}=\Psi\left(\left\|x_{0}-q\right\|\right)-\Psi\left(\varepsilon_{n}\right) \leq n
$$

so define $\varepsilon_{n}$ such that

$$
\Psi\left(\left\|x_{0}-q\right\|\right)-\Psi\left(\varepsilon_{n}\right)=n
$$

i.e.

$$
\varepsilon_{n}:=\Psi^{-1}\left(\Psi\left(\left\|x_{0}-q\right\|\right)-n\right)
$$

## Theorem (= Theorem A + Lemma B + rate conversion)

Suppose that $T$ is $\psi$-weakly contractive and $q$ is a fixpoint of T. Define $x_{n+1}:=T x_{n}$ for any starting point $x_{0}$. Then $\left\|x_{n}-q\right\| \rightarrow 0$, and moreover, for any $n \in \mathbb{N}$ we have

$$
\left\|x_{n}-q\right\| \leq \Psi^{-1}\left(\Psi\left(\left\|x_{0}-q\right\|\right)-n\right)
$$

where $\Psi$ is given by

$$
\Psi(s):=\int^{s} \frac{d t}{\psi(t)}
$$

Now compare this to:

## Theorem ([Alber and Guerre-Delabriere, 1997])

If $T$ is weakly contractive then it possesses a fixpoint q. Moreover, from any starting point $x_{0}$ the sequence $\left\{x_{n}\right\}$ defined by $x_{n+1}:=T x_{n}$ converges to $q$, with rate of convergence

$$
\left\|x_{n}-q\right\| \leq \Psi^{-1}\left(\Psi\left(\left\|x_{0}-q\right\|\right)-n\right)
$$

where $\Psi$ is given by

$$
\Psi(s):=\int^{s} \frac{d t}{\psi(t)}
$$

## General route to a convergence theorem

(1) Reduce everything to a recursive inequality in terms of $\mu_{n}:=\left\|x_{n}-q\right\|$.
(2) Apply a general quantitative convergence theorem for this inequality.

3 Convert "proof-theoretic rate" into bounding function (optional, but essential if we want to compare with known bounds in simple cases).

Steps 2 and 3 can be done in a very general setting, so that in concrete cases, we only need to adapt Step 1!

## Outline

(1) A high level overview
(2) A simple worked example
(3) A first general result

4 Summary of further results and conclusion

A sequence $\left\{A_{n}\right\}$ with $A_{n}: E \rightarrow E$ is quasi asymptotically $\psi$-weakly contractive w.r.t. $q$ and with modulus $\sigma$ if for all $\delta, c>0$ and $x, y \in E$ :

$$
\|x-q\| \leq c \Longrightarrow \forall n \geq \sigma(\delta, c)\left(\left\|A_{n} x-q\right\| \leq\|x-q\|-\psi(\|x-q\|)+\delta\right)
$$

## Theorem ( $\mathrm{A}^{+}$)

Suppose that $\left\{A_{n}\right\}$ is quasi asymptotically $\psi$-weakly contractive w.r.t. $q$ and $\sigma$, and that the sequence $\left\{x_{n}\right\}$ satisfies

$$
x_{n+1}=\left(1-\alpha_{n}\right) x_{n}+\alpha_{n} A_{n} x_{n}
$$

for $\left\{\alpha_{n}\right\}$ a sequence of nonnegative reals. Then whenever $\left\|x_{n}-q\right\|$ is bounded above by some $c>0$, for any $\delta>0$ and $n \geq \sigma(\delta, c)$ we have:

$$
\left\|x_{n+1}-q\right\| \leq\left\|x_{n}-q\right\|-\alpha_{n} \psi\left(\left\|x_{n}-q\right\|\right)+\alpha_{n} \delta
$$

Proof. We observe that for $n \geq \sigma(\delta, c)$

$$
\begin{aligned}
\left\|x_{n+1}-q\right\| & =\left\|\left(1-\alpha_{n}\right)\left(x_{n}-q\right)+\alpha_{n}\left(A_{n} x_{n}-q\right)\right\| \quad \text { (rearranging) } \\
& \leq\left(1-\alpha_{n}\right)\left\|x_{n}-q\right\|+\alpha_{n}\left\|A_{n} x_{n}-q\right\| \\
& \left.\leq\left(1-\alpha_{n}\right)\left\|x_{n}-q\right\|+\alpha_{n}\left(\left\|x_{n}-q\right\|-\psi\left(\left\|x_{n}-q\right\|\right)+\delta\right) \quad \text { (property of }\left\{A_{n}\right\}\right) \\
& =\left\|x_{n}-q\right\|-\alpha_{n} \psi\left(\left\|x_{n}-q\right\|\right)+\alpha_{n} \delta
\end{aligned}
$$

## Lemma ( $\mathrm{B}^{+}$)

Let $\left\{\mu_{n}\right\}$ be a sequence ofnonnegative reals such that for any $\delta>0$ we have

$$
\mu_{n+1} \leq \mu_{n}-\alpha_{n} \psi\left(\mu_{n}\right)+\alpha_{n} \delta
$$

for all $n \geq \sigma(\delta)$, where:

- $\psi:[0, \infty) \rightarrow[0, \infty)$ is a nondecreasing function with $\psi(t)>0$ for $t>0$;
- $\left\{\alpha_{n}\right\} \subset[0, \alpha]$ is a sequence of nonnegative real numbers such that $\sum_{n=0}^{\infty} \alpha_{n}=\infty$ with rate of divergence $r:(0, \infty) \times(0, \infty) \rightarrow \mathbb{N}$ i.e.

$$
\forall N \in \mathbb{N}, x>0\left(\sum_{n=N}^{r(N, x)} \alpha_{n}>x\right)
$$

Then $\mu_{n} \rightarrow 0$, and moreover, for any $\varepsilon>0$ we have

$$
\forall n \geq \Phi(\varepsilon)\left(\mu_{n} \leq \varepsilon\right)
$$

where $\Phi$ is defined by

$$
\Phi(\varepsilon):=r\left(\sigma\left(\frac{1}{2} \min \left\{\psi\left(\frac{\varepsilon}{2}\right), \frac{\varepsilon}{\alpha}\right\}\right), 2 \int_{\varepsilon / 2}^{c} \frac{d t}{\psi(t)}\right)
$$

and $c$ is an upper bound for $\left\{\mu_{n}\right\}$.

## Theorem ( $=$ Theorem $\mathrm{A}^{+}+$Lemma $\mathrm{B}^{+}$)

Suppose that $\left\{A_{n}\right\}$ is quasi asymptotically $\psi$-weakly contractive w.r.t. $q$ and $\sigma$, and that the sequence $\left\{x_{n}\right\}$ satisfies

$$
x_{n+1}=\left(1-\alpha_{n}\right) x_{n}+\alpha_{n} A_{n} x_{n}
$$

for $\left\{\alpha_{n}\right\}$ a sequence of nonnegative reals such that $\sum_{n=0}^{\infty} \alpha_{n}=\infty$ with rate of divergence $r$. Then whenever $\left\|x_{n}-q\right\|$ is bounded above by some $c>0$, we have $\left\|x_{n}-q\right\| \rightarrow 0$, and moreover, for any $\varepsilon>0$ we have

$$
\forall n \geq \Phi(\varepsilon)\left(\left\|x_{n}-q\right\| \leq \varepsilon\right)
$$

where $\Phi$ is defined by

$$
\Phi(\varepsilon):=r\left(\sigma\left(\frac{1}{2} \min \left\{\psi\left(\frac{\varepsilon}{2}\right), \frac{\varepsilon}{\alpha}\right\}, c\right), 2 \int_{\varepsilon / 2}^{c} \frac{d t}{\psi(t)}\right)
$$

## Recall from earlier...

## Definition ([Powell and Wiesnet, 2021])

A sequence of mappings $\left\{A_{n}\right\}$ with $A_{n}: E \rightarrow E$ is quasi asymptotically $\psi$-weakly contractive w.r.t $q$ and with modulus $\sigma$ if for all $\delta, c>0$ and $x, y \in E$ :

$$
\|x-q\| \leq c \Longrightarrow \forall n \geq \sigma(\delta, c)\left(\left\|A_{n} x-q\right\| \leq\|x-q\|-\psi(\|x-q\|)+\delta\right)
$$

Example. If $T$ is totally asymptotically $\psi$-weakly contractive in the sense that

$$
\left\|T^{n} x-T^{n} y\right\| \leq\|x-y\|-\psi(\|x-y\|)+k_{n} \phi(\|x-y\|)+l_{n}
$$

then $\left\{\mathrm{T}^{n}\right\}$ is quasi asymptotically $\psi$-weakly contractive w.r.t. any fixpoint of $T$ with modulus

$$
\sigma(\delta, c):=\max \left\{f_{1}\left(\frac{\delta}{2 \phi(c)}\right), f_{2}\left(\frac{\delta}{2}\right)\right\}
$$

where $f_{1}, f_{2}$ are rates of convergence for $k_{n}, l_{n} \rightarrow 0$.

## Corollary (Quantitative version of [Alber et al., 2006])

Suppose that $T: E \rightarrow E$ is quasi totally asymptotically $\psi$-weakly contractive in the sense that

$$
\left\|T^{n} x-T^{n} y\right\| \leq\|x-y\|-\psi(\|x-y\|)+k_{n} \phi(\|x-y\|)+l_{n}
$$

for $k_{n}, l_{n} \rightarrow 0$, that $q$ is a fixpoint of $T$ and that the sequence $\left\{x_{n}\right\}$ satisfies

$$
x_{n+1}=\left(1-\alpha_{n}\right) x_{n}+\alpha_{n} T^{n} x_{n}
$$

for $\left\{\alpha_{n}\right\}$ a sequence ofnonnegative reals such that $\sum_{n=0}^{\infty} \alpha_{n}=\infty$ with rate of divergence $r$. Then whenever $\left\|x_{n}-q\right\|$ is bounded above by some $c>0$, we have $\left\|x_{n}-q\right\| \rightarrow 0$, and moreover, for any $\varepsilon>0$ we have

$$
\forall n \geq \Phi(\varepsilon)\left(\left\|x_{n}-q\right\| \leq \varepsilon\right)
$$

where $\Phi$ is defined by

$$
\Phi(\varepsilon):=r\left(\sigma\left(\frac{1}{2} \min \left\{\psi\left(\frac{\varepsilon}{2}\right), \frac{\varepsilon}{\alpha}\right\}, c\right), 2 \int_{\varepsilon / 2}^{c} \frac{d t}{\psi(t)}\right)
$$

and

$$
\max \left\{f_{1}\left(\frac{\delta}{2 \phi(c)}\right), f_{2}\left(\frac{\delta}{2}\right)\right\}
$$

where $f_{1}, f_{2}$ are rates of convergence for $k_{n}, l_{n} \rightarrow 0$.

## Theorem (= Theorem $\mathrm{A}^{+}+$Lemma $\mathrm{B}^{+}+$rate conversion)

Suppose that $\left\{A_{n}\right\}$ is quasi asymptotically $\psi$-weakly contractive w.r.t. $q$ and $\sigma$, and that the sequence $\left\{x_{n}\right\}$ satisfies

$$
x_{n+1}=\left(1-\alpha_{n}\right) x_{n}+\alpha_{n} A_{n} x_{n}
$$

for $\left\{\alpha_{n}\right\}$ a sequence of nonnegative reals such that $\sum_{n=0}^{\infty} \alpha_{n}=\infty$. Then whenever $\left\|x_{n}-q\right\|$ is bounded above by some $c>0$, we have $\left\|x_{n}-q\right\| \rightarrow 0$, with rate of convergence

$$
\left\|x_{n}-q\right\| \leq F^{-1}\left(2 \Psi(c)-\sum_{i=0}^{n-2} \alpha_{i}\right)
$$

where $F:(0, \infty) \rightarrow \mathbb{R}$ is any strictly increasing and continuous function satisfying

$$
F(\varepsilon) \geq 2 \Psi\left(\frac{\varepsilon}{2}\right)-\alpha \cdot \sigma\left(\frac{1}{2} \min \left\{\psi\left(\frac{\varepsilon}{2}\right), \frac{\varepsilon}{\alpha}\right\}, c\right)
$$

and $\Psi$ is given by

$$
\Psi(s):=\int^{s} \frac{d t}{\psi(t)}
$$

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## Further results I: $d$-weakly contractive mappings

Let $X$ be a uniformly smooth Banach space, $X^{*}$ be the dual of $X$, and $J: X \rightarrow X^{*}$ the normalized duality mapping i.e.

$$
\langle x, J x\rangle=\|x\|^{2}=\|J x\|^{2}
$$

We call $\left\{A_{n}\right\}$ quasi asymptotically $d$-weakly contractive w.r.t. $\psi$ and $q$ with modulus $\sigma$ if for any $\delta, c>0$ we have
$\|x-q\| \leq c \Longrightarrow \forall n \geq \sigma(\delta, c)\left(\left\langle A_{n} x-q, J\left(A_{n} x-q\right)\right\rangle \leq\|x-q\|^{2}-\psi(\|x-q\|)+\delta\right)$
The sequence

$$
x_{n+1}=\left(1-\alpha_{n}\right) x_{n}+\alpha_{n} A_{n} x_{n}
$$

converges to $q$, where we can construct a rate of convergence in the modulus of uniform smoothness for the space $X$.

This generalises and provides a rate of convergence for a theorem of [Chidume et al., 2002].

## Further results II: Perturbed schemes

Suppose that $\left\{A_{n}\right\}$ with $A_{n}: E_{n} \rightarrow E$ are asymptotically weakly contractive w.r.t. $\psi$ and $q$, and $\left\{x_{n}\right\}$ satisfies the perturbed scheme

$$
x_{n+1}=Q_{n}\left(\left(1-\alpha_{n}\right) x_{n}+\alpha_{n} A_{n} x_{n}\right)
$$

where $Q_{n}: X \rightarrow E_{n+1}$ is a Sunny nonexpansive retraction. Then $x_{n}$ converges to $q$, provided that $X$ is uniformly smooth and

$$
E_{n} \rightarrow E
$$

w.r.t Hausdorff metric. Uses a formalisation of the Hausdorff distance first used in [Kohlenbach and Powell, 2020].

This generalises and provides a rate of convergence for a theorem of [Alber et al., 2003].

## Summary

$$
\text { space } X+\text { mapping }\left\{A_{n}\right\}+\text { algorithm }\left\{x_{n}\right\} \Longrightarrow \text { convergence }
$$



In each case, we use the same reduction to the recursive inequality

$$
\mu_{n+1} \leq \mu_{n}-\alpha_{n} \psi\left(\mu_{n}\right)+\alpha_{n} \delta
$$

for sufficiently large $n$, and provide explicit rates of convergence.

## Future work

Abstract recursive inequalities play a central role in nonlinear analysis, and a quantitative analysis of such inequalities has been crucial in many applied proof theory papers.

For instance, in [Kohlenbach and Powell, 2020] the following recursive inequality is studied:

$$
\mu_{n+1} \leq \mu_{n}-\alpha_{n} \psi\left(\mu_{n+1}\right)+\alpha_{n} \gamma_{n}
$$

for $\gamma_{n} \rightarrow 0$.

It would be interesting to have a general quantitative study of recursive inequalities:

- Bringing together known results and establishing new ones,
- Providing a repository of quantitative lemmas which could then be applied in concrete situations.

Thank you!

Alber, Y., Chidume, C., and Zegeye, H. (2006).
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